FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number: 3235-0287							
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	tion 1(b).	nuc. Sec		Filed							ities Exchanç ompany Act o		of 1934		nours	per re	esponse:	0.5
1. Name and Address of Reporting Person*  Stamm Luisa M					2. Issuer Name and Ticker or Trading Symbol ASSEMBLY BIOSCIENCES, INC. ASMB]								heck all app Direc	olicable)	ng Person(s) to I			
(Last)	(Last) (First) (Middle)														w) Ü		below)	Зреспу
C/O ASSEMBLY BIOSCIENCES, INC. 331 OYSTER POINT BLVD., FOURTH FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 11/09/2020									Chief Med	lical	Officer		
(Street) SOUTH FRANCI	( ·	A 9	4080		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(Si	tate) (2	Zip)															
		Table	I - N	on-Deriva	tive S	Secui	rities	Acc	quire	d, Dis	sposed of	f, or E	Benefici	ally Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/N			Executio 'ear) if any		on Date,				4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a			5) Secur Benef	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Price	Trans	action(s) 3 and 4)			(111501.4)
Common Stock 11/09/2				11/09/20	20			S <sup>(1)</sup>		4,397	D	\$5.095	<b>2</b> <sup>(2)</sup>	7,603		D		
		Tal	ble II	- Derivati (e.g., pu							osed of, convertib				d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exer Expiration D (Month/Day/		ate	Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)	
					Code	v	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	or Number of Shares					

## **Explanation of Responses:**

- 1. The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person and represents shares sold to cover tax withholding obligations in connection with the vesting of restricted stock units.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.09 to \$5.13, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within.

## Remarks:

/s/ John O. Gunderson, as 11/10/2020 Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.