SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>Arnold Lee</u>	g Person [*]	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol <u>ASSEMBLY BIOSCIENCES, INC.</u> [VTUS]						
(Last) (First) (Middle) 99 HUDSON STREET, 5TH FLOOR			07/11/2014		4. Relationship of Reporting Per (Check all applicable) Director X Officer (give title	10% Owner Other (spec	· (5. If Amendment, Date of Original Filed (Month/Day/Year) 07/14/2014		
(Street) NEW YORK (City)	ORK NY US 10013 (State) (Zip)				Chief Scientific	below) Officer		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownershi Form: Direct or Indirect (I (Instr. 5)	cṫ (D) (Instr. 5		ure of Indirect Beneficial Ownership 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Convers or Exerc	ise Fo	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivativ Security	ve or	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)		(2)	05/15/2024	Common Stock, \$0.001 par value per share	155,412 ⁽¹⁾⁽³⁾	2.22 ⁽¹⁾		D		
 These options ve These options we Remarks: 	ct the 1-for-5 rever st 1/36 on a month		ay 15, 2014.		oming an executive officer of the Com	npany.				

This amendment is filed to correct the stock option exercise price.

/s/ Alexander M. Donaldson, by Power of Attorney

07/15/2014

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.