FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
OMB Number:	3235-0287					
Estimated average burden						
hours por rosponso:	0.5					

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or S	ection 30	(h) of the Ir	ivestmen	t Con	ipany Act o	1 1940								
1. Name and Address of Reporting Person* BARRETT DAVID JONATHAN					2. Issuer Name and Ticker or Trading Symbol ASSEMBLY BIOSCIENCES, INC. [VTUS]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
													x	Officer (g	ive title		Other (s	-	
(Last)(First)(Middle)99 HUDSON STREET5TH FLOOR				3. Date of Earliest Transaction (Month/Day/Year) 07/10/2014								below) Ch	ief Fina	ncial C	below) Officer				
(Street) NEW YO	ORK I	NY	US 10013		4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv X	ndividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City)	(State)	(Zip)																
			Table I - Non	-Deriv	ative	Securi	ties Acq	uired,	Disp	osed of	, or B	ene	ficially O	wned					
Date			Date	th/Day/Year) if an		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. r) 8) 4. Securit Disposed					and 5) Securities Beneficially Following R		Form:	Direct Indirect tr. 4)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A (D	() or))	Price	Transactior (Instr. 3 and				(Instr. 4)	
Common Stock													9,396	5(1)		D			
			Table II - I (osed of, o onvertib				ned					
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date, Tran ecurity or Exercise (Month/Day/Year) if any Cod		Transa Code			6. Date E Expiratio (Month/I		Securi	ities U ative S	Amount of Inderlying ecurity 4)	8. Price of Derivative Security (Instr. 5)	9. Numb derivati Securiti Benefic Owned Followin Reporte	ve es ially ng	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	D) Beneficial O) Ownershi ect (Instr. 4)				
							Date		Expiration			Amount or Number of		Transac (Instr. 4)	tion(s)				

Exercisable

(2)

(4)

(5)

(6)

(8)

(11)

(A)

741.800

Code v

A

D

D

D

D

D

(D)

42,600⁽¹⁾

8,000⁽¹⁾

61,184⁽¹⁾

40,000⁽¹⁾

10,000⁽¹⁾

Phantom	(9)(10)	

\$7.25⁽¹⁾

\$19.05⁽¹⁾

\$40.5⁽¹⁾

\$30⁽¹⁾

(7)

Stock Options

(right to buy) Stock Options

(right to buy)

Stock Options

(right to buy)

Stock Options

buy) Restricted

Stock Units

Stock

(right to

Explanation of Responses:

1. Adjusted to reflect the 1-for-5 reverse stock split that occurred on July 11, 2014.

07/10/2014

07/10/2014

07/10/2014

07/10/2014

07/10/2014

07/10/2014

2. The options vest 1/3 on 7/11/2014, and the remaining 2/3 will vest in equal installments on the first and second anniversaries after 7/11/2014.

3. This award was canceled by mutual agreement of the reporting person and the Company in consideration of options granted on July 10, 2014.

4. The options vest 1/3 on 1/15/2015, and the remaining 2/3 will vest in equal installments on the second and third anniversaries after 1/15/2014.

5. The options vested 1/3 on 1/15/2013, and the remaining 2/3 will vest in equal installments on the first and second anniversaries after 1/15/2013.

6. The options vested 1/3 on 12/22/2010, and the remaining 2/3 will vest in equal installments on the first and second anniversaries after 12/22/2010.

7. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.

8. The restricted stock units vest in four equal amounts (25% each) as follows: 25% on the date of grant and 25% when the 20 trading day volume weighted average price of the Issuer's common stock equals or exceeds \$20.75, \$27.75 and \$30.75. The unvested restricted stock units expire on June 30, 2016 if a vesting threshold has not been met by that date

9. Upon the immediate vesting on April 5, 2013 of 10,000 restricted stock units granted to the reporting person on April 5, 2013, the reporting person elected to defer the receipt of those 10,000 shares of common stock, resulting in the accrual to his phantom stock account of 10,000 shares of phantom stock.

10. Each share of phantom stock represents the right to receive one share of the Issuer's common stock.

11. The phantom stock becomes payable on the earliest to occur of (a) termination of employment, (b) a change in control of the Issuer, or (c) April 1, 2015.

/s/ Alexander M. Donaldson, by Power of Attorney ** Signature of Reporting Person

Amount or Number of Shares

741,800(1)

42,600(1)

8,000(1)

61,184(1)

40,000(1)

10,000(1)

\$<mark>0</mark>(3)

\$0⁽³⁾

\$<mark>0</mark>(3)

\$<mark>0</mark>(3)

\$<mark>0</mark>(3)

\$0⁽³⁾⁽⁹⁾

741,800⁽¹⁾

0

0

0

0

0

D

D

D

D

D

D

07/10/2024

01/15/2024

12/22/2020

12/22/2020

06/30/2016

(11)

Date

Title

Commo

Stock

Common

Stock

Common

Stock

Commor

Stock

Common

Stock Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

07/14/2014

Date